

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Caldwell et al**

Application No. 10/599,475

Attorney Docket No. 1025-P04207US0

Filed: September 29, 2006

For: FLUID-WORKING MACHINE WITH
DISPLACEMENT CONTROL

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Correspondence is being filed with the United States Patent Office via the EFS web system.

3/28/07
Date of Certificate

Christine Gersbach
Christine Gersbach

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449. Because the filing date of this application is after June 30, 2003, only copies of the citations that are not U.S. Patents or U.S. Published Patent Applications are provided.

This Information Disclosure Statement is being filed more than three months after the filing date of the application. However, Applicants have not yet received an action on the merits of the application. Accordingly, Applicants believe that no fee is required pursuant to 37 C.F.R. §1.97 (b) (3). In the event a fee is required, the Commissioner is authorized to charge the required fees to deposit account no. 04-1406. A duplicate copy of this sheet is included for fee processing, if necessary.

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

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By

A handwritten signature in black ink, appearing to read 'Stephen H. Eland', is written over a horizontal line.

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Enclosures - Form PTO-1449

Copies of Non-US Patent/Pub. App. refs listed on PTO -1449

INFORMATION DISCLOSURE STATEMENT

Complete if known

Application Number: 10/599,475

Filing Date: September 29, 2006

First Named Inventor: Caldwell et al

Group Art Unit:

Examiner Name:

SHEET 1 OF 1

Attorney Docket Number: 1025-P04207US0

UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		5,456,581	10/10/1995	Jokela et al
		5,564,905	10/15/1996	Manring et al
		6,162,022	12/19/2000	Anderson et al

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
		2000-161207	JP	06/13/2000	Caterpillar, Inc.
		1,319,836	EP	11/26/2003	Caterpillar, Inc.

OTHER CITATIONS - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S
SIGNATURE

DATE
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.